



PATENT

Attorney Docket No. MTI-31470

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Zheng, Lingyi A.  
Serial No. : 09/912,041  
Filing Date : July 24, 2001  
Examiner : KENNEDY, Jennifer M.  
Group Art Unit: 2812  
Confirmation No.: 4539  
For : Improved Cell Nitride Nucleation on Insulative Layers and Reduced Corner Leakage of Container Capacitors

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

Mailing

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8(a)

37 CFR 1.10

- ☐ with sufficient postage as first class mail ☒ As "Express Mail Post Office to Addressee" Mailing Label No. EL609566939US

Transmission

- ☐ transmitted by facsimile to Fax No \_\_\_\_\_ addressed to Examiner \_\_\_\_\_ at the Patent and Trademark Office.

Date:

August 22, 2002

*Jim L. Houk*

Assistant Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is: Response to Restriction Requirement and Preliminary Amendment; replacement claims; and blacklined claims

STATUS

2. Applicant is other than a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

- a. [ ] Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

TECHNOLOGY CENTER 2800

AUG 27 2002

RECEIVED

	<u>Total months Requested</u>	<u>Fee for other than Small Entity</u>	<u>Fee for Small Entity</u>
[ ]	one month	\$110.00	\$ 55.00
[ ]	two months	\$400.00	\$195.00
[ ]	three months	\$890.00	\$445.00
[ ]	four months	\$1,390.00	\$695.00

Fee \$ \_\_\_\_\_

**If an additional extension of time is required please consider this a petition therefor.**

- a. [ ] An extension for \_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

OR

- b. [X] Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

**Extension fee due with this request**      \$ 0.00

### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Claims		Highest No.		Present		Addit.	
Remaining		Previously		Extra	Rate	Fee or	Addit.
After		Paid For					Fee
Amendment							
Total	103	Minus	124	=	0	x9= \$	x18= \$ 0.00
Indep.	24	Minus	19	=	5	x42= \$	x84= \$420.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+140=\$	+280= \$0
TOTAL						ADDIT. FEE \$	or TOTAL
							ADDIT.
							FEE \$420.00

- c. [ ] No additional fee for claims is required.

OR

- d. [X] Total additional fee for claims required \$420.00.

### FEE PAYMENT


5. Attached is a check in the sum of \$420.00.

**FEE DEFICIENCY**

6. If any additional extension and/or fee is required, charge Account No. 23-2053.  
If any additional fee for claims is required, charge Account No. 23-2053.

Reg. No. 34259  
Tel. No.: (414) 273-2100

Date: AUGUST 22, 2002  
Customer No.: 31870

  
Kristine M. Strodthoff  
Whyte Hirschboeck Dudek S.C.  
111 East Wisconsin Avenue, Suite 2100  
Milwaukee, WI 53202